

Kolmogorov Complexity

Eyad Loutfi



Section 1

Basics



Computability

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- A natural question to ask is if there is a correspondence between strings and the programs that output them.
- To what extent might a “large” string be produced by a “short” program, i.e. how much might we be able to “compress” strings?



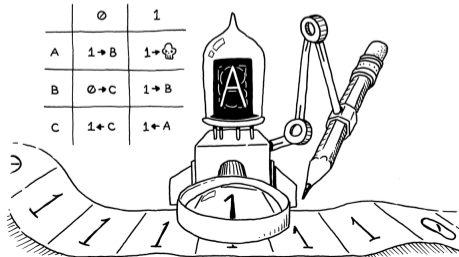
Turing Machines

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- TM's are defined over a finite alphabet, have an infinite tape that has the input at the start of it, a read head with internal state that starts at the start of the tape and can write or move left or right all according to some finite instructions (transition function based on the head's state and character in the cell it's over).



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- Note that programs/TM's etc are defined by precise descriptions, so in a sense they are strings themselves!
- One can devise an encoding scheme of all programs – many such encodings exist, could use all binary strings or all ASCII strings, etc.



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- Other important definitions: A set is “recursively enumerable,” or RE if there exists a program that can give a “yes” answer to any string in the set. A set is “computable” if a program like that exists that also gives a “no” answer for any string not in the set.



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- These properties are not guaranteed in general due to possibility of infinite looping.



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- Limitation: Must be relative to a model of computation / programming language you fix.
- Gives us a powerful tool for understanding compression, the distribution of “complexity” among finite strings themselves, and even limitative results of mathematics as a whole.



Section 2

Some Fundamental Proofs



Invariance Theorem

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- Rather, the K complexity only differs by a constant factor between different languages.



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Given 2 languages L_1, L_2 and their respective K complexities K_1, K_2 , for any string s , $K_1(s) \leq K_2(s) + c$ for some constant c .



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4. We know $|Interpret(P)| = |Interpret| + |P|$, but $|P| = K_2(s)$ and we can consider $|Interpret|$ to be some constant c .
5. Therefore $K_1(s) \leq K_2(s) + c$.



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4. For f to be one-to-one, there would have to be $\geq 2^n$ output programs but we only have $2^n - 1$. Contradiction



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- What this tells us is there will always be incompressible strings where $K(a) \geq |a|$, i.e. universal lossless compression is impossible!
- We can now prove an even more general fact regarding the computability of K !



Uncomputability of K

The proof uses the fact programs can simulate other programs and that there is a binary string encoding scheme for all programs

Theorem

There is no possible program $P(x, i)$ that outputs “yes” if $K(x) = i$ and “no” if $K(x) \neq i$.



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$Q(j)$:

for each binary string encoding x of a program

 Simulate $P(x, j)$

 If simulation outputs “yes”

 print x $\langle\langle$ *This will be the first program x s.t. $K(x) = j$* $\rangle\rangle$



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- We need at most $\log(j)$ bits to write j , so we obtain the inequality $K(y) = j \leq |Q(j)| \leq |P| + \log(j) + c \Rightarrow j - \log(j) \leq |P| + c$



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- This will be true no matter what j we pick, yet since $j - \log(j)$ is unbounded and P and c are fixed, there will be a j that makes it greater than $|P| + c$, a contradiction.
- Therefore Kolmogorov complexity is not computable, there cannot exist such a program P .



Section 3

What we can say about the complexity of strings?



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- A natural question to ask is what the distribution of Kolmogorov complexity over the average binary string really looks like
- Intuitively we would expect most strings to appear random, and it turns out this intuition is true: The vast majority of strings are not very compressible.



Average Complexity of Finite Strings

Theorem

If A is the set of all strings a where $K(a) < n - k$, then $\frac{|A|}{|\{0,1\}^n|} < \frac{1}{2^k}$



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 - ▶ $|C| = 2^{n-k} - 1$



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2. So $|A| \leq |C| = 2^{n-k} - 1 \Rightarrow |A| < 2^{n-k}$.
3. Therefore $\frac{|A|}{|\{0,1\}^n|} < \frac{2^{n-k}}{2^n} = \frac{1}{2^k}$.



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- When looking at strings of length 100, the proportion of them that can be compressed to be even just 10 bits less is less than $\frac{1}{2^9}$, or less than about 0.2%
- Not only are most strings hard to compress, but we can actually prove an upper bound on the Kolmogorov complexity that we are even able to PROVE a string to have.



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- Intuitively this is just a model of how we prove things, but the key insight is we only ever do proofs using computable inference rules.



Example Inference Rules in First Order Logic

- Clearly proof systems are RE – one can just make a program that starts at the axioms and repeatedly apply the inference rules – like these from first order logic.
 - **Propositional Tautologies:** A or not A , not(A and not A), etc. are valid.
 - **Modus Ponens:** If A is valid and A implies B is valid then B is valid.
 - **Equality Rules:** $x=x$, $x=y$ implies $y=x$, $x=y$ and $y=z$ implies $x=z$, and $x=y$ implies $f(x)=f(y)$ are all valid.
 - **Change of Variables:** Changing variable names leaves a statement valid.
 - **Quantifier Elimination:** If For all x , $A(x)$ is valid, then $A(y)$ is valid for any y .
 - **Quantifier Addition:** If $A(y)$ is valid where y is an unrestricted variable, then For all x , $A(x)$ is valid.
 - **Quantifier Rules:** If Not(For all x , $A(x)$) is valid, then There exists an x such that Not($A(x)$) is valid. Etc.



Math is Incomplete

- One result that immediately follows from the uncomputability of K is Godel's First Incompleteness theorem, that any RE proof system for mathematics will not be able prove or disprove every statement in the language associated with it.
- This is because if we could, then the fact the proof system is RE implies we can have a program loop through all theorems till it finds the statement for what the Kolmogorov complexity of a string is or isn't for any string, which would make K computable which we already proved is impossible.



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- Godel's result was more powerful, applying to any system that can prove facts regarding basic arithmetic, though this still applies since logical statements about programs may be encoded as arithmetical statements – i.e. Godel numbering.



Gödel Numbering

- Fundamental Theorem of Arithmetic ensures that any Gödel number has a unique prime factorization - allowing one to retrieve the original statement using the prime factors.

English	For all	numbers x	there exists	an immediate successor to	x
Math	\forall	x	\exists	s	x
Gödel number (for symbol)	9	11	4	7	11
Gödel numbering scheme	2^9	3^{11}	5^4	7^7	11^{11}
Result	512	177,147	625	823,543	285,311,670,611
Gödel number (for statement)	13,319,551,367,882,900,000,000,000				



Chaitin's Incompleteness Theorem

“The proof of this closely resembles G. G. Berry's paradox of ‘the first natural number which cannot be named in less than a billion words.’ The version of Berry's paradox that will do the trick is ‘that object having the shortest proof that its algorithmic information content is greater than a billion bits.’ – Gregory Chaitin



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- Suppose not, so there is some RE system F such that for any L , F can prove $K(x) = L$ for some x .
- We construct a program P that takes in an integer L and iterates through all proofs in F until it finds a proof of $K(x) = L$ for some x , then prints that x .



Chaitin's Incompleteness Theorem Continued

- Let x be the output of P for a certain L , therefore $K(x) = L$, and since $P(L)$ outputs x , $L \leq P(L) = |P| + |L| + c \leq |P| + \log(L) + c$ where c is some constant overhead by P .



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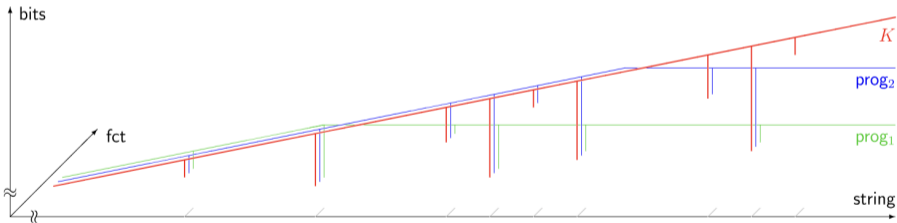
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- This means regardless of L , $L - \log(L) \leq |P| + c$, but since P and c are fixed, for large enough L this will clearly not hold.
- Therefore for any such proof system F , there must exist an L such that no string can be proven to have Kolmogorov complexity of L , or any number bigger since it will still violate the inequality.



Chaitin's Incompleteness Theorem Continued

- This further implies any program that would take in an input and calculate a lower bound on its Kolmogorov complexity cannot exceed some limit.



The End

HOW DO I GET TO YOUR
PLACE FROM LEXINGTON?

HMM...

OK, STARTING FROM YOUR DRIVEWAY,
TAKE EVERY LEFT THAT DOESN'T PUT
YOU ON A PRIME-NUMBERED HIGHWAY
OR STREET NAMED FOR A PRESIDENT.



WHEN PEOPLE ASK FOR STEP-BY-STEP
DIRECTIONS, I WORRY THAT THERE WILL
BE TOO MANY STEPS TO REMEMBER, SO
I TRY TO PUT THEM IN MINIMAL FORM.

