Shor's Algorithm

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The RSA problem



The RSA problem

- Given the public keys N and e, and the publicly transmitted ciphertext $C \equiv M^e \pmod{N}$, find the original message M.
- Currently an open problem, but it's believed that factoring N is the best approach.
- Factoring N will also give us the private key d, so we can decode any messages sent with this key pair.
- Thus, our problem becomes: Given a semiprime N = pq, find the prime factors p and q of N.



Shor's algorithm



A definition

- Let a and N be integers such that a is coprime to N.
- The order of $a \pmod{N}$ is the smallest positive integer r such that $a^r \equiv 1 \pmod{N}$.
- By Euler's Theorem, $a^{\phi(N)} \equiv 1 \pmod{N}$. Thus, $r|\phi(N)$.
- In Shor's algorithm, the problem of factoring N is replaced with the problem of finding r.



Step 1: RNG

- Pick a random number a with 1 < a < N, and calculate gcd(a, N).
- If $gcd(a, N) \neq 1$, we got really lucky. Since N has only two factors, p = gcd(a, N) and q = N/p.
- If gcd(a, N) = 1, we move on to step 2.



Step 2: Order-Finding

- Find the multiplicative order of $a \pmod{N}$ and label it r.
- $a^r \equiv 1 \pmod{N} \Rightarrow N | (a^r 1) \Rightarrow N | (a^{\frac{r}{2}} 1)(a^{\frac{r}{2}} + 1)$
- If r is odd, we can't use this formula, so we go back to step 1.
- It's impossible that $N|(a^{\frac{r}{2}}-1)$, because then $a^{\frac{r}{2}} \equiv 1 \pmod{N}$, but the order of $a \pmod{N}$ is $r > \frac{r}{2}$.
- Ideally, N shares a factor with $(a^{\frac{r}{2}} 1)$ that's less than N.



Step 3: Factor

- Compute $g = \gcd(N, a^{\frac{r}{2}} 1)$.
- If g = 1, then $N|(a^{\frac{r}{2}} + 1)$, which tells us nothing about the factors of N. So, we go back to step 1.
- If g > 1, then g is one of the prime factors of N, and the other factor is N/g.
- In his original paper, Shor proved that the probability of the algorithm working, given a random a, is at least $\frac{1}{2}$. The exact probability is an open problem!



Implementing the algorithm



Classical part

- A classical computer is used for steps 1 and 3, because it can calculate the gcd of two numbers very quickly.
- In fact, this calculation never needs more steps than 5 times the number of digits!



Proof of gcd complexity

- Claim: Worst-case for Euclidean algorithm is two Fibonacci numbers
- More specifically, if the algorithm requires N steps to find gcd(a, b) with a > b, then $a \ge F_{N+2}$ and $b \ge F_{N+1}$
- Base case: N = 1. The smallest pair is $a = 2 = F_3$ and $b = 1 = F_2$
- Inductive step: Assume claim is true for all $N \leq K 1$
- The first step in an algorithm with K steps is a = qb + r. Consider the pair b and r (b > r), which takes K - 1 steps
- By the inductive hypothesis, $b \ge F_{K+1}$ and $r \ge F_K$
- $a = qb + r \ge b + r \ge F_{K+1} + F_K = F_{K+2}$



Proof of gcd complexity

- A property of the golden ratio: $\phi^2 = \phi + 1$
- This gives a property of Fibonacci numbers: $\phi^N = \phi F_N + F_{N-1}$
- $\phi^N = \phi F_N + F_{N-1} \le \phi F_N + F_N = (\phi + 1)F_N = \phi^2 F_N$
- $\phi^{N-2} \leq F_N$



Proof of gcd **complexity**

- If the Euclidean algorithm takes N-1 steps, then $b \ge F_N \ge \phi^{N-2}$
- $N-2 \leq \log_{\phi}(b)$ and $\log_{10}(\phi) > \frac{1}{5}$, so

$$\frac{N-2}{5} \le \log_{10}(\phi) \log_{\phi}(b) = \log_{10}(b)$$

 $N - 1 \le 1 + 5\log_{10}(b) < 5\log_{10}(b)$

• Time complexity is $O(\log(b))$ - only depends on the smaller number!



Quantum part

- Finding the order of a number is hard for classical computers.
- The best known algorithm (repeated squaring) is subexponential.
- So, we plug the output from step 1 into a quantum computer.
- The quantum circuit complexity is $O(n^3)$ much better!



So why isn't RSA broken?



So why isn't RSA broken?

- Shor's algorithm needs perfect qubits, with no errors.
- In real quantum systems, errors are really hard to correct. (see meetings 3 and 4 from Fall '23)
- Order-finding algorithm requires 2n + 3 qubits for an *n*-bit number.
- For 2048-bit RSA, would need 4,099 perfect qubits.
- Current best: IBM's Condor with 1,121 noisy qubits.



Questions?



Relevant xkcd





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